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49989/MEG/E303

STATEMENT UNDER 37 CFR 3.73(b)

Page 1 of 2

Applicant/Patent Owner: Jan Otto Solem et al.

Application No./Patent No.: 10/019,563 Filed/Issue Date: July 1, 2002

Entitled: DEVICE AND METHOD FOR TREATMENT OF MITRAL INSUFFICIENCY

Edwards Lifesciences AG, a limited-liability company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Jan Otto Solem To: Syndeon AB

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: Per Ola Kimblad To: Valvida AB

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: Syndeon AB To: Jomed N.V.

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Hard 3/03  
Date

P. Wigef  
Typed or printed name  
[Signature]  
Signature  
Director  
Title

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49989/MEG/E303

STATEMENT UNDER 37 CFR 3.73(b)

Page 2 of 2

Applicant/Patent Owner: \_\_\_\_\_

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: \_\_\_\_\_

Entitled: \_\_\_\_\_

\_\_\_\_\_, a \_\_\_\_\_,  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: **Valvida AB** To: **Jomed N.V.**

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: **Jomed N.V.** To: **Edwards Lifesciences AG**

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

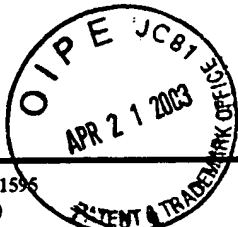
- [ ] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 31, 03  
Date

P. Wiget  
Typed or printed name  
[Signature]  
Signature  
Director  
Title



Form PTO-1596  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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RECORDATION FORM COVER SHEET  
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U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Syntheon A.B.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

11/29/2002

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Jomed N.V.

Internal Address: \_\_\_\_\_

Street Address: Strawinskylaan 2001

Amsterdam, The Netherlands

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: 107722

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/141,438;

09/345,475; 10/019,563; 09/775,677;

10/329,720; 10/303,765

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William D. McSpadden

Internal Address: BAKER & MCKENZIE

Street Address: 2001 Ross Avenue, Suite 2300

City: Dallas State: TX Zip: 75201

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41).....\$ 240.00



Enclosed



Authorized to be charged to deposit account deficiencies only

8. Deposit account number:

13-0480

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9. Signature.

William D. McSpadden

Name of Person Signing

William D. McSpadden

Signature

2-27-2003

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:  
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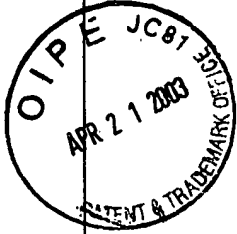
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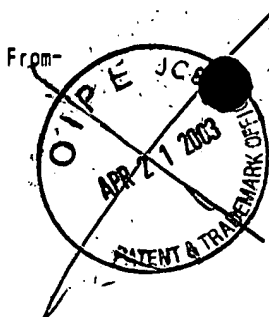
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ASSIGNMENT

WHEREAS, I, Jan Otto Solem, residing at Wallenrutistrasse 14, CH 8234 Stetten SH, Switzerland, have made an invention entitled:

"DELAYED MEMORY DEVICE"

and filed the patent applications listed in Attachment A therefor; and

WHEREAS, Syndac AB, a company organized and existing under the laws of Sweden and having an address of c/o Solem, Mäsvagen 14 B, 22733 Lund, Sweden, is desirous of acquiring the entire interest in said invention, said patent applications and in any Letters Patents which may issue thereon:

NOW, THEREFORE, be it known that for and in consideration of the sum of one Swedish Crown (SEK 1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto the said Syndac AB, its successors, assigns and legal representatives, (1) all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, extensions, reissues, reexaminations, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in my name on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid

inventions, discoveries and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the following priority application:

<u>0200073-5</u>	<u>Sweden</u>	<u>11 January 2002</u>
application no.	country	filing date

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in any country throughout the world to Syntheon AB as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of Syntheon AB to execute and to deliver to Syntheon AB any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree, at any time, upon request of Syntheon AB to execute and to deliver to Syntheon AB such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect any and all rights of Syntheon AB under this

Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.


IN TESTIMONY WHEREOF, I have hereunto signed my name on the date indicated hereinafter.

29/11-02  
Date

Jan Otto Solem  
Jan Otto Solem

A T T A C H M E N T A

Application No.	Country	Filing Date
0200073-5	Sweden	11. January 2002
10/141348	USA	09. May 2002





A S S I G N M E N T

WHEREAS, I, Jan Otto Solem, residing at Wallenrutistrasse 14, CH 8234 Stetten SH, Switzerland, have made an invention entitled:

"ANORDNING FOER BEHANDLING AV BRISTANDE  
TILLSLUTNINGSFOERMAAGA HOS MITRALISKLAFFAPPARATEN"  
("DEVICE AND METHOD FOR TREATMENT OF MITRAL INSUFFICIENCY")

and filed the patent applications listed in Attachment A therefor; and

WHEREAS, Syntec AB, a company organized and existing under the laws of Sweden and having an address of c/o Solem, Misvågen 14 B, 22733 Lund, Sweden, is desirous of acquiring the entire interest in said invention, said patent applications and in any Letters Patents which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of one Swedish Crown (SEK 1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto the said Syntec AB, its successors, assigns and legal representatives, (1) all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, extensions, reissues, reexaminations, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in my name on the aforesaid inventions, discoveries and applications in all countries of

the world; (3) the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid inventions, discoveries and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the following priority applications:

<u>9902455-6</u>	<u>Sueden</u>	<u>29 June 1999</u>
application no.	country	filing date
<u>PCT/BE00/01369</u>	<u>WIPO</u>	<u>28 June 2000</u>
application no.	country	filing date
<u>09/775677</u>	<u>USA</u>	<u>2 May 2001</u>
application no.	country	filing date
<u>PCT/EP01/10371</u>	<u>WIPO</u>	<u>8 September 2001</u>
application no.	country	filing date

and all rights of priority in any country of the world deriving from the above-identified International Patent Applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in any country throughout the world to Syndeon AB as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of Syndeon AB to execute and to deliver to Syndeon AB any additional applications for patents for said inventions and discoveries,

or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree, at any time, upon request of Syndeon AB to execute and to deliver to Syndeon AB such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect any and all rights of Syndeon AB under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

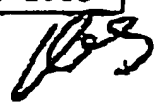
IN TESTIMONY WHEREOF, I have hereunto signed my name on the date indicated hereinafter.

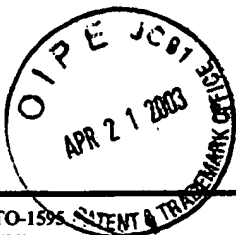
29/11-02  
Date

Jan Otto Solem  
Jan Otto Solem

ATTACHMENT A

Application No.	Country	Filing Date
P 000103201	Argentina	26. June 2000
PI 0012914-5	Brazil	28. June 2000
2369129	Canada	28. June 2000
00809730.5	China	28. June 2000
00946661.6	Europe	28. June 2000
2001/01825/CHE	India	28. June 2000
2001-505828	Japan	28. June 2000
2001-7016818	South Korea	28. June 2000
9902455-6	Sweden	29. June 1999
09/345475	USA	30. June 1999
10/019563	USA	28. June 2000
09/773877	USA	05. February 2001
PCT/SE00/01369	WIPO	28. June 2000
PCT/EP01/10371	WIPO	08. September 2001





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1. Name of conveying party(ies):  
Jan Otto Solem

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 11/29/2002

2. Name and address of receiving party(ies)

Name: Sydeon AB

Internal Address: c/o Solem

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Street Address: Masvagen 14H

City: Lund, Sweden State: Zip: 22733

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/141,438;  
09/345,475; 10/019,563; 09/775,677;  
10/329,720; 10/303,765

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William D. McSpadden

Internal Address: BAKER & MCKENZIE

Street Address: 2001 Ross Avenue, Suite 2300

City: Dallas State: TX Zip: 75201

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41).....\$ 240.00

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☒ Authorized to be charged to deposit account deficiencies only

8. Deposit account number:

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9. Signature.

William D. McSpadden

Name of Person Signing

William D. McSpadden

Signature

2-27-2003

Date

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39105512

A S S I G N M E N T

KNOW ALL MEN BY THESE PRESENTS, that in consideration of one Swedish Crown (SEK 1.00) and other valuable consideration, the receipt of which is hereby acknowledged, Syndeon AB, a company organized and existing under the laws of Sweden and having an address of c/o Solem, Måsvägen 14 B, 22733 Lund, Sweden (the "Assignor"), hereby assigns to Jomed N.V., a corporation organized and existing under the laws of the Netherlands, and having an address of Strawinskylaan 2001, 10772E Amsterdam, the Netherlands (the "Assignee"), its successors and assigns, any right, title or interest it may have in the invention entitled:

"DELAYED MEMORY DEVICE"

for which patent applications listed in Attachment A were filed;

and the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid invention and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same;

and the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world.

IN TESTIMONY WHEREOF, the Assignor has caused  
this Assignment to be executed by its duly authorized  
officer on this 29 day of November 2002.

Syndacn AB

By

  
Jan Otto Solem



A T T A C H M E N T A

Application No.	Country	Filing Date
0200073-5	Sweden	11. January 2002
10/141348	USA	09. May 2002

15

A S S I G N M E N T

KNOW ALL MEN BY THESE PRESENTS, that in consideration of one Swedish Crown (SEK 1.00) and other valuable consideration, the receipt of which is hereby acknowledged, Syndeon AB, a company organized and existing under the laws of Sweden and having an address of c/o Solem, Mäsvagen 14 B, 22733 Lund, Sweden (the "Assignor"), hereby assigns to Jomed N.V., a corporation organized and existing under the laws of the Netherlands, and having an address of Strawinskylaan 2001, 107722 Amsterdam, the Netherlands (the "Assignee"), its successors and assigns, any right, title or interest it may have in the invention entitled:

"ANORDNING FÖR BEHANDLING AV BRISTANDE  
TILLSLUTNINGSPÖRMÅNGA HOS MITRALISKLAFFAPPARATEN"  
("DEVICE AND METHOD FOR TREATMENT OF MITRAL  
INSUFFICIENCY")

for which patent applications listed in Attachment A were filed;

and the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid invention and applications in any country of the world and any renewals, revivals, releases, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same;

and the entire right, title and interest in and to all Convention and Treaty Rights of all kinds



thereon, including without limitation all rights of  
priority in any country of the world.

IN TESTIMONY WHEREOF, the Assignor has caused  
this Assignment to be executed by its duly authorized  
officer on this 29 day of November, 2002.

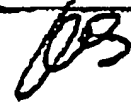
Syntheon AB.

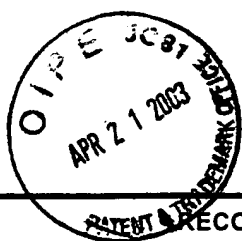
By

  
Jan Otto Solem

ATTACHMENT A

Application No.	Country	Filing Date
P 000103201	Argentina	26. June 2000
PI 0012314-5	Brazil	28. June 2000
2369129	Canada	28. June 2000
00809730.5	China	28. June 2000
00946661.6	Europe	28. June 2000
2001/01825/CH	India	28. June 2000
2001-505828	Japan	28. June 2000
2001-7016818	South Korea	28. June 2000
9902455-6	Sweden	29. June 1999
09/345475	USA	30. June 1999
10/019563	USA	28. June 2000
09/775677	USA	05. February 2001
PCT/SE00/01365	WIPO	28. June 2000
PCT/EP01/10371	WIPO	08. September 2001





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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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1. Name of conveying party(ies):

Per Ola Kimblad

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

11/29/2002

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Valvida AB

Internal Address: \_\_\_\_\_

Street Address: Saturnusgatan 9

City: Lund, Sweden State: \_\_\_\_\_ Zip: 22457

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/141,438;

B. Patent No.(s) \_\_\_\_\_

09/345,475; 10/019,563; 09/775,677; 10/329,720;

10/303,765; 09/670,082

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William D. McSpadden

Internal Address: BAKER & MCKENZIE

Street Address: 2001 Ross Avenue, Suite 2300

City: Dallas State: TX Zip: 75201

6. Total number of applications and patents involved: 7

7. Total fee (37 CFR 3.41).....\$ 280.00



Enclosed



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deficiencies only

8. Deposit account number:

13-0480

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9. Signature.

William D. McSpadden

Name of Person Signing

William D. McSpadden

Signature

2-27-2003

Date

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Washington, D.C. 20231

**BAKER & McKENZIE**

Citibank Delaware Operating Account

DATE: 02-27-03

PAYEE: Commissioner Of Patents

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381319	02/27/03f	Feb 27, 2003	280.00	
			280.00	

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DALLAS, TX 75201  
214-978-3000Citibank Delaware  
A Subsidiary of Citicorp  
One Penn's Way  
New Castle, DE 1972062-20  
311

CHECK NO. 68413

CHECK DATE

Feb 27, 2003

CHECK AMOUNT

\*\*\*\* 280.00

PAY\*\* TWO HUNDRED EIGHTY AND 00/100 US Dollar

TO THE  
ORDER OF

Commissioner Of Patents

VOID AFTER 6 MONTHS

TWO SIGNATURES REQUIRED OVER \$2,500

MICROPRINTED BORDER. CONTAINS HEAT SENSITIVE INK. RUB RED IMAGE - DISAPPEARS WITH HEAT. SEE BACK FOR ARTIFICIAL WATERMARK - HOLD AT ANGLE TO VIEW

68413

031100209

39105512

A S S I G N M E N T

WHEREAS, I, Per Ola Kimblad, residing at Saturnusgatan 9, 22457 Lund, Sweden, have made an invention entitled:

"DELAYED MEMORY DEVICE"

and filed the patent applications listed in Attachment A therefor; and

WHEREAS, Valvida AB, a company organized and existing under the laws of Sweden and having an address of Saturnusgatan 9, 22457 Lund, Sweden, is desirous of acquiring the entire interest in said invention, said patent applications and in any Letters Patents which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of one Swedish Crown (SEK 1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto the said Valvida AB, its successors, assigns and legal representatives, (1) all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, extensions, reissues, reexaminations, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in my name on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid

inventions, discoveries and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the following priority application:

0200073-5  
application no.

Sweden  
country

11 January 2002  
filing date

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in any country throughout the world to Valvida AB as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of Valvida AB to execute and to deliver to Valvida AB any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree, at any time, upon request of Valvida AB to execute and to deliver to Valvida AB such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect any and all rights of Valvida AB under this

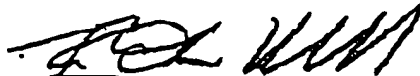


Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

IN TESTIMONY WHEREOF, I have hereunto signed my name on the date indicated hereinafter.

2002.11.29

Date



Per Ola Kimblad

A T T A C H M E N T

Application No.	Country	Filing Date
0200073-5	Sweden	11. January 2002
10/141348	USA	09. May 2002



A S S I G N M E N T

WHEREAS, I, Per Ola Kimblad, residing at Saturnusgatan 9, 22457 Lund, Sweden, have made an invention entitled:

"ANORDNING FOER BERANDLING AV BRISTANDE  
TILLSLUTNINGSSFOERMAAGA BOS MITRALISKLAPPAFFARATEN"  
("DEVICE AND METHOD FOR TREATMENT OF MITRAL INSUFFICIENCY")

and filed the patent applications listed in Attachment A therefor; and

WHEREAS, Valvida AB, a company organized and existing under the laws of Sweden and having an address of Saturnusgatan 9, 22457 Lund, Sweden, is desirous of acquiring the entire interest in said invention, said patent applications and in any Letters Patents which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of one Swedish Crown (SEK 1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto the said Valvida AB, its successors, assigns and legal representatives, (1) all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, extensions, reissues, reexaminations, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in my name on the aforesaid inventions, discoveries and applications in all countries of



the world; (3) the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid inventions, discoveries and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the following priority applications:

<u>9902455-6</u>	<u>Sweden</u>	<u>29 June 1999</u>
application no.	country	filing date
<u>PCT/SP00/01369</u>	<u>WIFO</u>	<u>28 June 2000</u>
application no.	country	filing date
<u>09/775677</u>	<u>USA</u>	<u>2 May 2001</u>
application no.	country	filing date
<u>PCT/EP01/10371</u>	<u>WIFO</u>	<u>8 September 2001</u>
application no.	country	filing date

and all rights of priority in any country of the world deriving from the above-identified International Patent Applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in any country throughout the world to Valvida AB as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of Valvida AB to execute and to deliver to Valvida AB any additional applications for patents for said inventions and discoveries,

or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree, at any time, upon request of Valvida AB to execute and to deliver to Valvida AB such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect any, and all rights of Valvida AB under this Assignment, including the execution, delivery and procurement of any, and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

IN TESTIMONY WHEREOF, I have hereunto signed my name on the date indicated hereinafter.

2002.4.29

Date



Per Ola Kimblad

A T T A C H M E N T A

Application No.	Country	Filing Date
P 000103201	Argentina	26. June 2000
PI 0012314-5	Brazil	28. June 2000
2369129	Canada	28. June 2000
00809730.5	China	28. June 2000
00946661.6	Europe	28. June 2000
2001/01825/CH	India	28. June 2000
2001-505828	Japan	28. June 2000
2001-7016818	South Korea	28. June 2000
9902455-6	Sweden	29. June 1999
09/345475	USA	30. June 1999
10/019563	USA	28. June 2000
09/775677	USA	05. February 2001
PCT/SE00/01369	WIPO	28. June 2000
PCT/EP01/10371	WIPO	08. September 2001

A S S I G N M E N T

WHEREAS, I, Per Ola Kimblad, residing at Saturnusgatan 9, 22457 Lund, Sweden, have made an invention entitled:

"DEVICE AND METHOD FOR TREATMENT OF  
ATRIOVENTRICULAR REGURGITATION"

and filed the patent applications listed in Attachment A therefor; and

WHEREAS, Valvida AB, a company organized and existing under the laws of Sweden and having an address of Saturnusgatan 9, 22457 Lund, Sweden, is desirous of acquiring the entire interest in said invention, said patent applications and in any Letters Patents which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of one Swedish Crown (SEK 1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto the said Valvida AB, its successors, assigns and legal representatives, (1) all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, extensions, reissues, reexaminations, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in my name on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to



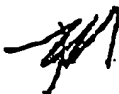
any Letters Patent which may issue on the aforesaid inventions, discoveries and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the following priority application:

<u>0002878-7</u>	<u>Sweden</u>	<u>11 August 2000</u>
application no.	country	filing date

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in any country throughout the world to Valvida AB as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of Valvida AB to execute and to deliver to Valvida AB any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree, at any time, upon request of Valvida AB to execute and to deliver to Valvida AB such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to





perf ct any and all rights of Valvida AB under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

IN TESTIMONY WHEREOF, I have hereunto signed my name on the date indicated hereinafter.

2002.11.29

Date

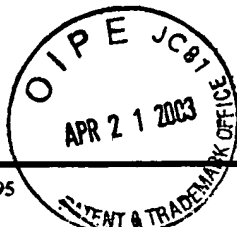


Per Ola Kimblad

A T T A C H M E N T A

Application No.	Country	Filing Date
0002878-7	Sweden	11. August 2000
09/670082	USA	26. September 2000





Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Valvida AB

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 11/29/2002

2. Name and address of receiving party(ies)

Name: Jomed N.V.

Internal Address: \_\_\_\_\_

Street Address: Strawinskylaan 2001

Amsterdam, The Netherlands

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: 107722

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/141,438;

B. Patent No.(s) \_\_\_\_\_

09/345,475; 10/019,563; 09/775,677; 10/329,720;

10/303,765; 09/670,082

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William D. McSpadden

Internal Address: BAKER & MCKENZIE

Street Address: 2001 Ross Avenue, Suite 2300

City: Dallas State: TX Zip: 75201

6. Total number of applications and patents involved: **7**

7. Total fee (37 CFR 3.41).....\$ 280.00

☒ Enclosed

☒ Authorized to be charged to deposit account deficiencies only

8. Deposit account number:

13-0480

DO NOT USE THIS SPACE

9. Signature.

William D. McSpadden

Name of Person Signing

*William D. McSpadden*  
Signature

2-27-2003

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**BAKER & McKENZIE**

Citibank Delaware Operating Account

DATE: 02-27-03 PAYEE: Commissioner Of Patents

VENDOR ID: DA001015

CHECK #: 68412

VOUCHER	INVOICE #	INV DATE	AMOUNT	VENDOR COMMENTS
381317	02/27/03e	Feb 27, 2003	280.00	
			280.00	

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214-978-3000Citibank Delaware  
A Subsidiary of Citicorp  
One Penn's Way  
New Castle, DE 1972062-20  
311

CHECK NO. 68412

CHECK DATE

Feb 27, 2003

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A S S I G N M E N T

KNOW ALL MEN BY THESE PRESENTS, that in consideration of one Swedish Crown (SEK 1.00) and other valuable consideration, the receipt of which is hereby acknowledged, Valvida AB, a company organized and existing under the laws of Sweden and having an address of Saturnusgaten 9, 22457 Lund, Sweden (the "Assignor"), hereby assigns to Jomed N.V., a corporation organized and existing under the laws of the Netherlands, and having an address of Strawinskylaan 2001, 107722 Amsterdam, the Netherlands (the "Assignee"), its successors and assigns, any right, title or interest it may have in the invention entitled:

"DELAYED MEMORY DEVICE"

for which patent applications listed in Attachment A were filed;

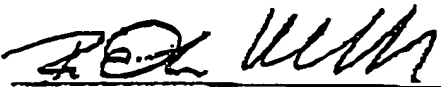
and the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid invention and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same;

and the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world.



IN TESTIMONY WHEREOF, the Assignor has caused  
this Assignment to be executed by its duly authorized  
officer on this 29<sup>th</sup> day of November, 2002.

Valvida AB

By   
Per Ola Kimblad

A T T A C H M E N T A

Application No.	Country	Filing Date
0200073-5	Sweden	11. January 2002
10/141348	USA	09. May 2002



A S S I G N M E N T

KNOW ALL MEN BY THESE PRESENTS, that in consideration of one Swedish Crown (SEK 1.00) and other valuable consideration, the receipt of which is hereby acknowledged, Valvada AB, a company organized and existing under the laws of Sweden and having an address of Saturnusgaten 9, 22457 Lund, Sweden (the "Assignor"), hereby assigns to JOMSA N.V., a corporation organized and existing under the laws of the Netherlands, and having an address of Strawinskylaan 2001, 107722 Amsterdam, the Netherlands (the "Assignee"), its successors and assigns, any right, title or interest it may have in the invention entitled:

"ANORDNING FÖR BEHANDLING AV BRISTANDE  
TILLSLUTNINGSFÖRMÅGA HOS MITRALISKLAPPAFFARATEN"  
("DEVICE AND METHOD FOR TREATMENT  
OF MITRAL INSUFFICIENCY")

for which patent applications listed in Attachment A were filed;

and the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid invention and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same;

and the entire right, title and interest in and to all Convention and Treaty Rights of all kinds






thereon, including without limitation all rights of  
priority in any country of the world.

IN TESTIMONY WHEREOF, the Assigner has caused  
this Assignment to be executed by its duly authorized  
officer on this 29th day of November, 2002.

Valvida AB

By   
Per Ola Kimblad

A T T A C H M E N T A

Application No.	Country	Filing Date
P 000103201	Argentina	26. June 2000
PI 0012314-S	Brazil	28. June 2000
2369129	Canada	28. June 2000
00809730.5	China	28. June 2000
00946661.6	Europe	28. June 2000
2001/01825/CH	India	28. June 2000
2001-505828	Japan	28. June 2000
2001-7016618	South Korea	28. June 2000
9902455-6	Sweden	29. June 1999
09/345478	USA	30. June 1999
10/019563	USA	28. June 2000
09/775677	USA	05. February 2001
PCT/SX00/01369	WIPO	28. June 2000
PCT/EP01/10371	WIPO	08. September 2001

A S S I G N M E N T

KNOW ALL MEN BY THESE PRESENTS, that in consideration of one Swedish Crown (SEK 1.00) and other valuable consideration, the receipt of which is hereby acknowledged, Valvide AB, a company organized and existing under the laws of Sweden and having an address of Saturnusgatan 9, 22457 Lund, Sweden (the "Assignor"), hereby assigns to Jomed N.V., a corporation organized and existing under the laws of the Netherlands, and having an address of Strawinskylaan 2001, 107722 Amsterdam, the Netherlands (the "Assignee"), its successors and assigns, any right, title or interest it may have in the invention entitled:

"DEVICE AND METHOD FOR TREATMENT OF  
ATRIOVENTRICULAR REGURGITATION"

for which patent applications listed in Attachment A were filed;

and the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid invention and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same;

and the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world.

IN TESTIMONY WHEREOF, the Assignor has caused  
this Assignment to be executed by its duly authorized  
officer on this 29th day of November, 2002.

Valvda AB

By   
Per, Ola Kimblad

A T T A C H M E N T A

Application No.	Country	Filing Date
0002878-7	Sweden	11. August 2000
09/670082	USA	26. September 2000



Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jomed N.V.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

2/14/2003

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Edwards Lifesciences AG

Internal Address: \_\_\_\_\_

Street Address: Au Glapin, 1162 Saint-Prex

Switzerland

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/141,438; 09/670,082

B. Patent No.(s) \_\_\_\_\_

09/345,475; 10/019,563; 09/775,677; 10/329,720;

10/303,765; 60/344,121

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William D. McSpadden

Internal Address: BAKER & MCKENZIE

Street Address: 2001 Ross Avenue, Suite 2300

City: Dallas State: TX Zip: 75201

6. Total number of applications and patents involved: 8

7. Total fee (37 CFR 3.41).....\$ 320.00



Enclosed



Authorized to be charged to deposit account deficiencies only

8. Deposit account number:

13-0480

DO NOT USE THIS SPACE

9. Signature.

William D. McSpadden

Name of Person Signing

William D. McSpadden

Signature

2-27-2003

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**BAKER & MCKENZIE**

Citibank Delaware Operating Account

DATE: 02-27-03

PAYEE: Commissioner Of Patents

VENDOR ID: DA001015

CHECK #:

68409

VOUCHER	INVOICE #	INV DATE	AMOUNT	VENDOR COMMENTS
381312	02/27/03b	Feb 27, 2003	320.00	
			320.00	

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**BAKER & MCKENZIE**

ATTORNEYS AT LAW

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DALLAS, TX 75201

214-978-3000

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A Subsidiary of Citicorp  
One Penn's Way  
New Castle, DE 1972062-20  
311

CHECK NO. 68409

CHECK DATE

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\*\*\*\* 320.00

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PAY\*\* THREE HUNDRED TWENTY AND 00/100 US Dollar

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Commissioner Of Patents

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68409

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SCHEDULE FDEED OF ASSIGNMENT  
PATENTS

WHEREAS, Valvida AB, a company, incorporated, organized and validly existing under the laws of Sweden, with address Schlstedtskatan 1, 254 39 Helsingborg, Sweden ("VALVIDA"), as owner of the patents and patent applications in the inventions "device and method for treatment of mitral insufficiency", "device and method for treatment of atrioventricular regurgitation" and "delayed memory device", also identified in Exhibit A attached herewith, has assigned these patents and applications to ASSIGNOR by the three assignment agreements concerned, all signed on November 29, 2002 (copies of these assignments agreements attached as Exhibit B, C and D);

WHEREAS, Syndeon AB, a company, incorporated, organized and validly existing under the laws of Sweden, with address Schlstedtskatan 1, 254 39 Helsingborg, Sweden ("SYNDEON"), as owner of a part of the patents and patent applications in the inventions "device and method for treatment of mitral insufficiency" and "delayed memory device", also identified in Exhibit A attached herewith, has assigned these patents and applications to ASSIGNOR by the two assignment agreements concerned, all signed on November 29, 2002 (copy of this assignment agreement attached as Exhibits E and F);

WHEREAS these abovementioned assignments of patents and patent applications have not yet been recorded in the name of ASSIGNOR at the Patent Offices concerned;

WHEREAS, Jomed N.V., a limited liability company (naamloze vennootschap), incorporated, organized and validly existing under the laws of the Kingdom of the Netherlands, with its statutory seat in Amsterdam and with its principal office at Bamfordweg 1, (6235 NS) Ulestraten ("ASSIGNOR"), is the owner of the patents and patent applications identified in Exhibit A attached herewith ("Patents");

WHEREAS ASSIGNOR has sought protection from its creditors, pursuant to which on January 23, 2003, the Amsterdam District Court has granted Jomed NV a preliminary suspension of payments under the Netherlands Bankruptcy Act;

WHEREAS, Edwards Lifesciences AG, a limited liability company incorporated, organized and validly existing under the laws of Switzerland, with its statutory seat in Horw, Switzerland, with address: Au Glapin, 1162 Saint-Prex, Switzerland ("ASSIGNEE") is the purchaser of certain business assets of ASSIGNOR, including the Patents, pursuant to an Asset Sale and Purchase Agreement;

NOW, THEREFORE, by this Deed Of Assignment:

1. In accordance with said Asset Sale and Purchase Agreement, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE all title and interest in said Patents, whether filed with a Patent Office or not, including the inventions and improvements described therein, and divisionals, continuations, continuation-in-part thereof, any patent applications claiming priority therefrom, any letters patent that may be granted thereon, any rights of priority, and any reissues, reexaminations




and extensions thereof; and the entire right, title and interest in said inventions and improvements in any and all countries throughout the world, and to all letters patent and all convention and Treaty rights of all kinds, in all countries throughout the world, for the subject matter of said Patents and Applications.

2. VALVIDA, SYNDEON and ASSIGNOR agree to sign all documents necessary and to provide all original documents, at the first request of ASSIGNEE, in order, and hereby authorize ASSIGNEE (i) to record (the assignment of) the Patents in the name of ASSIGNEE at the patent offices concerned, and (ii) to secure all said Patents and letters patent and rights, and to request issuance of all said letters patent to the above ASSIGNEE in accordance with this assignment and transfer. VALVIDA, SYNDEON and ASSIGNOR shall procure that all inventors of the Patents and other parties, which appear to be the (former) applicants and/or the (former) registered owners of the Patents, will sign and provide all (original) documents necessary to record the assignment of the Patents in the name of ASSIGNEE.

3. VALVIDA, SYNDEON and ASSIGNOR further grant to ASSIGNEE the right to sue others for any past infringement of said Patents. ASSIGNOR does not guarantee that the Patents are and will remain valid and/or that (any use of or embodiments covered by or disclosed in) the Patents are free from infringement or violation of any third party's rights. ASSIGNOR will not be liable towards ASSIGNEE in respect of any claims of third parties based on alleged infringements on any rights of such third party, nor if one of the Patents would be declared null and void by any Court order. ASSIGNOR does guarantee however that, at the execution of this Deed, he is not aware of any claims of third parties regarding infringement in relation to or nullity and voidness of the Patents.

IN WITNESS WHEREOF, duly authorized officers of, VALVIDA, SYNDEON and ASSIGNOR have executed this instrument, as of February 14, 2003:

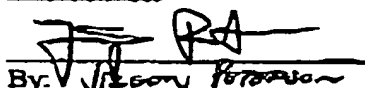
Jomed N.V.

  
By: Jörgen Peterson  
Title: CEO / Managing Director

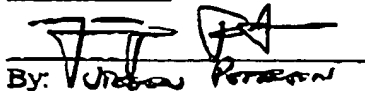
  
By: R.J. Schimmelpenniock  
Title: Trustee

  
By: M. Ph. Van Sant-Fluident  
Title: Trustee

Valvida AB

  
By: Jörgen Peterson  
Title: CEO

Syndeon AB

  
By: Jörgen Peterson  
Title: CEO

**Exhibit A****Patents and Patent Applications**

<b>Appl. No.</b>	<b>Country</b>	<b>Appl. Date</b>	<b>Applicant at patent office</b>	<b>Owner</b>
0200073-5	Sweden	11. January 2002	Solem/Kimblad	JOMED N.V.
10/141,348	USA	09. May 2002	Solem/Kimblad	JOMED N.V.
P 000103201	Argentina	26. June 2000	Solem/Kimblad	JOMED N.V.
PI 00102314-5	Brazil	28. June 2000	Solem/Kimblad	JOMED N.V.
2368129	Canada	28. June 2000	Solem/Kimblad	JOMED N.V.
00809730.5	China	28. June 2000	Solem/Kimblad	JOMED N.V.
00946661.6	Europe	28. June 2000	Solem/Kimblad	JOMED N.V.
2001/01825/CHE	India	28. June 2000	Solem/Kimblad	JOMED N.V.
2001-505828	Japan	28. June 2000	Solem/Kimblad	JOMED N.V.
2001-7016818	South Korea	28. June 2000	Solem/Kimblad	JOMED N.V.
9902455-6	Sweden	29. June 1999	Solem/Kimblad	JOMED N.V.
09/345,475	USA	30. June 1999	Solem/Kimblad	JOMED N.V.
10/019,663	USA	28. June 2000	Solem/Kimblad	JOMED N.V.
09/775,677	USA	06. February 2001	Solem/Kimblad Berger/Schwager	JOMED N.V.
PCT/SE00/01369	WIPO	28. June 2000	Solem/Kimblad	JOMED N.V.
PCT/EP01/10371	WIPO	08. September 2001	Solem/Kimblad	JOMED N.V.
PCT/EP02/14655	WIPO	20. December 2002	JOMED N.V. and Solem et al.	JOMED N.V.
0002878-7	Sweden	11. August 2000	Kimblad	JOMED N.V.
09/670082	USA	26. September 2000	JOMED N.V./ Kimblad	JOMED N.V.
60/344,121	USA	28. December 2001	JOMED N.V. Solem et al.	JOMED N.V.
10/329,720	USA	24. December 2002	CIP of 09/775,677	JOMED N.V.
10/303,765	USA	26. November 2002	DIV of 09/775,677	JOMED N.V.
Atty Doc. # 31122-1270	USA	To be Filed	JOMED NV	JOMED NV